



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/583,957 Confirmation No. : (TBA)
First Named Inventor : Norbert SPAZIER
Filed : June 21, 2006
TC/A.U. : (To Be Assigned)
Examiner : (To Be Assigned)
Docket No. : 095309.57914US
Customer No. : 23911
Title : Light for the Passenger Compartment of a Motor Vehicle

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 AND 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, the attached Form PTO-1449 lists documents which the Examiner may deem relevant to patentability of the claims of the above-identified application.

I. Time Period of Submission

This Information Disclosure Statement is submitted:

☒ 1) no later than three months from the application's filing date or 2) before the mailing date of the first Office Action on the merits (whichever is later) or 3) before a first Office Action after the filing of a Request for Continued Examination, and therefore no statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

☐ 2) after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, a Notice of Allowance, or an action closing prosecution (*Ex parte Quayle*), (whichever is earlier), and therefore Applicant is filing concurrently herewith:

☐ a Statement under 37 C.F.R. § 1.97(e); or

☐ a fee in the amount of \$180.00 under 37 C.F.R. § 1.17(p).

☐ 3) after either a Final Office Action or a Notice of Allowance, but before payment of the Issue Fee, and therefore Applicant is submitting herewith:

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a Statement under 37 C.F.R. § 1.97(e); and

a fee in the amount of \$180.00 under 37 C.F.R. § 1.17(p).

II. Statement Under 37 C.F.R. § 1.97(e)

☐ I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or

☐ I hereby state that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making a reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement; or

III. Statement under 37 C.F.R. § 1.704(d)

☐ I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.

IV. Submission of Non-English Language Documents

☒ The following is a concise explanation of relevance of the non-English language documents listed in the attached Form PTO-1449:

☒ The relevance of document(s) AA to the subject matter of the present invention is/are provided in the specification of the above-identified application.

☒ Corresponding foreign or international report(s) citing document(s) AB-AD, AG, AI & AJ, together with an English-language version(s) (if not already in English) of that portion of the report(s) indicating the degree of relevance found by the foreign office(s) is/are submitted.

☐ English language family member publication(s) of document(s) _____ is/are noted on Form PTO-1449.

☒ English language abstract(s) is/are submitted for document(s) AB, AI & AK-AM.

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☐ English translation(s) of the foreign language document(s)
_____ is/are submitted herewith.

☐ Applicant submits the following explanations:
_____.

V. Continuations/Divisionals

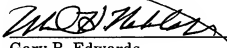
☐ Documents _____ were of record in parent application Serial No. _____, filed _____, from which this application claims benefit. As provided in 37 C.F.R. §1.98(d), copies of the documents are not being provided since they were previously submitted to or cited by the United States Patent and Trademark Office in the afore-mentioned parent application.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

If necessary, this paper should be considered as an authorization to charge Deposit Account 05-1323, Attorney Docket No.: 095309.57914US, for the fee set forth in 37 C.F.R. § 1.17(p).

Respectfully submitted,

September 12, 2006



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PTO/SB/08a (08-03)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/583,957
		Filing Date	June 21, 2006
		First Named Inventor	Norbert SPAZIER
		Art Unit	2885
		Examiner Name	110 Be Assigned H. S. SAWHNEY
		Attorney Docket Number	080437.57914US
Sheet	1	of	1

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.†	Document Number Number-Kind Code ‡ (§ known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.†	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T [‡]
		Country Code §-Number ¶-Kind Code ¶ (§ known)					
	AA	EP 1 344 684 A2		09-17-2003	Hella KG Hueck & Co.		
	AB	WO 03/024649 A1		03-27-2003	Saint-Gobain Glass France		ABS
	AC	DE 101 26 868 C1		11-21-2002	Saint-Gobain Sekurit Deutschland GmbH & Co.		
	AD	FR 2 738 783 A1		03-21-1997	Automobiles Peugeot Societe Anonyme		
	AE	GB 2 126 328 A		03-21-1984	Flachglas Aktiengesellschaft		
	AF	EP 1 154 199 A1		11-14-2001	Gai, Giorgio, Ing.		
	AG	EP 1 437 216 A1		07-14-2004	Glaverbel		
	AH	WO 2004/009349 A1		01-29-2004	Pilkington PLC.		
	AI	DE 102 69 828 A1		07-29-2004	Webasto Systemkomponenten GmbH & Co. KG		ABS
	AJ	WO 2006/018283 A1		02-24-2006	Saint-Gobain Glass France		
	AK	EP 1 234 721 A2		08-28-2002	Webasto Vehicle Systems International GmbH		ABS
	AL	DE 202 04 263 U1		09-04-2003	Doepfner Kunststofffenster KG		ABS
	AM	DE 198 52 693 B4		03-30-2006	DaimlerChrysler AG		ABS

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.†	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T [‡]
	AN	International Search Report dated April 5, 2005 with an English translation of the pertinent portions (Twelve (12) pages).	
	AO	German Office Action dated March 22, 2006. (Three (3) pages).	

Examiner Signature	/Hargobind Sawhney/	Date Considered	05/19/2009
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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /H.S./